

(II) MHD Alfvén waves in Nonuniform Plasmas

Alfvén waves are fundamental e+m oscillations in magnetized plasmas; whether in laboratory or astrophysical/space environments. Here, we would like to demonstrate that the MHD Alfvén waves take on peculiar properties ~~in~~ due to nonuniformities; which, of course, are usually the rule rather than the exception.

(II.1) Theoretical Model with ^{one-dimensional} nonuniformities

We shall take the plasma to be cold and assume the dynamics satisfies the ideal MHD assumption. Thus, the eqns are

momentum conservation

$$\rho_i \frac{d\mathbf{u}}{dt} = \mathbf{J} \times \mathbf{B} / c$$

$$\rho_i = m_i n_i$$

Note $m_i \gg m_e$

ideal MHD Ohm's Law

$$\mathbf{E} + \mathbf{u} \times \mathbf{B} / c = 0.$$

$$\frac{d}{dt} = \partial_t + \mathbf{u} \cdot \nabla$$

Maxwell's eqn gives

Faraday's Law

$$\nabla \times \mathbf{E} = -\frac{1}{c} \partial_t \mathbf{B}$$

Ampere's Law

$$\nabla \times \mathbf{B} = 4\pi \mathbf{J} / c$$

In stationary equilibrium i.e., $\partial/\partial t = 0$, we have

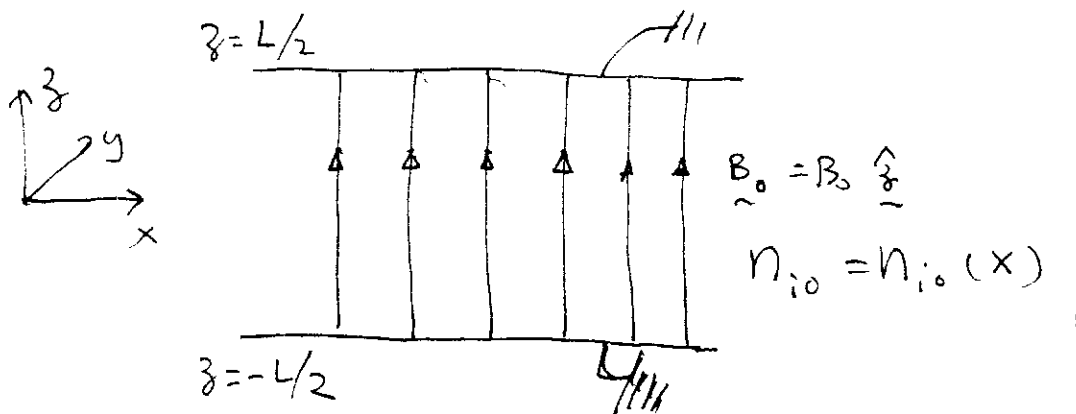
$$\underline{u}_0 = 0, \quad \underline{J}_0 = 0, \quad \underline{B} = B_0 \hat{z}, \quad \underline{E}_0 = 0,$$

P_{i0} , however, is arbitrary and we shall take

$$P_{i0} = P_{i0}(x) \quad ; \quad \text{or}$$

that is, $n_{i0}(x)$, ion density, is inhomogeneous in x .

We are, thus, led to the following theoretical model



(II.2) Linear Wave Equations

We now consider infinitesimally small linear perturbations of the above one-dimensional slab plasma. Thus, we have

$$\underline{u} = \delta \underline{u}, \quad \underline{J} = \delta \underline{J}, \quad \underline{B} = \underline{B}_0 + \delta \underline{B}, \quad \underline{E} = \delta \underline{E},$$

$$P_i = P_{i0} + \delta P_i.$$

Linearization corresponds to

$$|\delta\rho/\rho_0|, |\delta\mathbf{B}|/|\mathbf{B}_0| \rightarrow 0^+$$

The ideal MHD equations are
corresponding

$$\rho_0(\mathbf{x}) \partial_t \delta \underline{u} = \delta \underline{J} \times \underline{B}_0 / c$$

$$\delta \underline{E} + \delta \underline{u} \times \underline{B}_0 / c = 0,$$

$$-\frac{1}{c} \partial_t \delta \underline{B} = \nabla \times \delta \underline{E},$$

$$\frac{4\pi}{c} \delta \underline{J} = \nabla \times \delta \underline{B}.$$

It is more convenient (easier to picture) if we adopt the fluid displacement vector $\delta \underline{\xi}$ such that

$$\delta \underline{u} = \partial_t \delta \underline{\xi}$$

Combining ideal Ohm's law & Faraday's law, we find

$$-\frac{1}{c} \partial_t \delta \underline{B} = \nabla \times (-\partial_t \delta \underline{\xi} \times \underline{B}_0 / c)$$

$$\Rightarrow \delta \underline{B} = \nabla \times (\delta \underline{\xi}_\perp \times \underline{B}_0),$$

where we have denoted

$$\delta \underline{\xi} = \delta \underline{\xi}_\perp + \delta \xi_{||} \underline{e}_{||}, \quad \text{where } \underline{e}_{||} = \frac{\underline{B}_0}{B_0} \text{ being}$$

the direction along \underline{B}_0 and $\delta \underline{\xi}_\perp$ being perpendicular to \underline{B}_0

Note that ~~in~~ of motion, ~~we~~ we see $\delta \xi_{||} = 0$.

Thus, letting

$$\underline{\delta B} = \underline{\delta B}_\perp + \underline{\delta B}_\parallel \hat{\underline{z}}, \quad \text{we find}$$

$$\left[\text{vector identity } \underline{\nabla} \times (\underline{\delta \rho}_\perp \times \underline{B}_0) = \underline{\delta \rho}_\perp (\underline{\nabla} \cdot \underline{B}_0) - \underline{B}_0 (\underline{\nabla} \cdot \underline{\delta \rho}_\perp) \right. \\ \left. + (\underline{B}_0 \cdot \underline{\nabla}) \underline{\delta \rho}_\perp - (\underline{\delta \rho}_\perp \cdot \underline{\nabla}) \underline{B}_0 \right]$$

$$\left[\text{and noting } \underline{\nabla} \cdot \underline{B}_0 = 0, \quad \underline{\nabla} \cdot \underline{B}_0 = 0, \quad \underline{\nabla} \cdot \underline{\delta \rho}_\perp = \underline{\nabla}_\perp \cdot \underline{\delta \rho}_\perp \right]$$

$$\underline{\delta B}_\perp = (\underline{B}_0 \cdot \underline{\nabla}) \underline{\delta \rho}_\perp$$

$$\underline{\delta B}_\parallel = -B_0 (\underline{\nabla}_\perp \cdot \underline{\delta \rho}_\perp)$$

Eqn. of momentum conservation then reduces to

$$4\pi \rho_0(x) \partial_t^2 \underline{\delta u} = \underline{\nabla} \times (\underline{\nabla} \times \underline{\delta B}) \times \underline{B}_0$$

[Vector identity

$$\underline{\nabla} (\underline{\delta B} \cdot \underline{B}_0) = \underline{B}_0 \times (\underline{\nabla} \times \underline{\delta B}) + \underline{\delta B} \times (\underline{\nabla} \times \underline{B}_0) + (\underline{\delta B} \cdot \underline{\nabla}) \underline{B}_0 \\ + (\underline{B}_0 \cdot \underline{\nabla}) \underline{\delta B} \quad]$$

$$\Rightarrow 4\pi \rho_0(x) \partial_t^2 \underline{\delta u} = -\underline{\nabla} (\underline{\delta B} \cdot \underline{B}_0) + (\underline{B}_0 \cdot \underline{\nabla}) \underline{\delta B}_\perp + (\underline{B}_0 \cdot \underline{\nabla}) \underline{\delta B}_\parallel \hat{\underline{z}} \\ = -\underline{\nabla}_\perp (\underline{\delta B}_\parallel B_0) + (\underline{B}_0 \cdot \underline{\nabla})^2 \underline{\delta \rho}_\perp$$

Here, we have used $\underline{\delta B}_\perp$ in terms of $\underline{\delta \rho}_\perp$

Defining $\delta b \equiv \delta B_{||}/B_0$, $\nabla_{||} = (\frac{B_0}{B_0} \cdot \nabla)$, we then have the desired set of eqns.

$$D_A(x) \delta \underline{\underline{p}}_{\perp} = \nabla_{\perp} \delta b \quad \text{and} \quad \delta b = - \nabla_{\perp} \cdot \delta \underline{\underline{p}}_{\perp} ;$$

where

$$D_A(x) \equiv \nabla_{||}^2 - \frac{1}{V_A^2(x)} \partial_t^2 ,$$

and $V_A(x) = B_0 / \sqrt{4\pi \rho_{i0}(x)}$ is the local Alfvén speed. $D_A(x)$ is, thus, the "local" shear Alfvén wave (SAW) operator.

equilibrium

Since the plasma is uniform in y and z direction and time stationary, we can perform Fourier transform in $y + z$ and Laplace transform in t . That is, we let

$$\delta \underline{\underline{p}}_{\perp}(\underline{\underline{r}}, t) = \delta \underline{\underline{p}}_{\perp}^{\wedge}(x) e^{ik_y y + ik_z z - i\omega t} + c.c. ,$$

we then have the following coupled set of o.d.e.'s,

$$\begin{aligned} \epsilon_A(x) \delta \underline{\underline{p}}_{\perp}^{\wedge}(x) &= \nabla_{\perp}^{\wedge} \delta b^{\wedge}(x) , \\ \delta b^{\wedge}(x) &= - \nabla_{\perp}^{\wedge} \cdot \delta \underline{\underline{p}}_{\perp}^{\wedge}(x) , \end{aligned}$$

where $\epsilon_A(x) = \omega^2 / v_A^2(x) - k_{||}^2$ is the "local" SAW dispersion relation, and

$$\nabla_{\perp} \equiv i k_y \hat{y} + \hat{x} d/dx \quad d/dx \equiv d_x$$

Note we have three eqns for three independent variables, $\hat{S}_{\perp}^{\hat{P}} = \hat{x} \hat{S}_x^{\hat{P}} + \hat{y} \hat{S}_y^{\hat{P}}$ and \hat{S}_b .

We now proceed to derive a single wave equation in terms of $\hat{S}_x^{\hat{P}}$. First, we note that

$$\epsilon_A \hat{S}_y^{\hat{P}} = i k_y \hat{S}_b$$

which, after being substituted into the \hat{S}_b eqn, leads to

$$\hat{S}_b = -d_x \hat{S}_x^{\hat{P}} - i k_y \hat{S}_y^{\hat{P}} = -d_x \hat{S}_x^{\hat{P}} + \frac{k_y^2}{\epsilon_A} \hat{S}_b$$

$$\text{or } (k_y^2 - \epsilon_A) \hat{S}_b = \epsilon_A d_x \hat{S}_x^{\hat{P}}$$

Using \hat{S}_b expression in the \hat{x} -component of $\hat{S}_{\perp}^{\hat{P}}$, we then derive

$$\frac{d}{dx} \frac{\epsilon_A}{k_y^2 - \epsilon_A} \frac{d}{dx} \hat{S}_x^{\hat{P}}(x) - \epsilon_A(x) \hat{S}_x^{\hat{P}}(x) = S(x, \omega)$$

where S denotes possible initial conditions via the p.f. Laplace transform. Meanwhile, the corresponding ~~wave~~ equation for the compressional Alfvén wave can be shown to be [Hnework #1]

$$\left[\frac{d}{dx} \cdot \frac{1}{\epsilon_A} \frac{d}{dx} - k_y^2 \frac{1}{\epsilon_A} + 1 \right] \hat{b}(x) = S_b(x, \omega).$$

(II.3) Singular solutions

Let us now examine the steady-state limit; i.e., $\omega = \omega_0 + i0^+$, and ignore the initial conditions (e.g. the perturbations are due to an external source such as antennas). Here, 0^+ is needed to ensure causality; i.e., $|perturbations| \rightarrow 0$ as $t \rightarrow \infty$.

The SAW wave eqn for $\hat{b}(x)$ then has a regular singular point at x_s where $\epsilon_{Ar}(x_s) = 0$; i.e.

$$\omega_0^2 = k_{||}^2 v_A^2(x_s).$$

To examine the singular solutions at $x = x_s$, we shall perform the following Frobenius expansion; that is

$$\hat{\mathcal{F}}_x = \hat{\mathcal{F}}_x^0 + \hat{\mathcal{F}}_x^1 + \dots, \quad \text{where}$$

p. 8

adopting $t = x - x_s$, we have for $n \geq 1$

$$\lim_{|t| \rightarrow 0} |\hat{\mathcal{F}}_x^{(n)} / \hat{\mathcal{F}}_x^{(0)}| \Rightarrow |t|^n \Rightarrow 0.$$

The wave eqn then becomes

$$\frac{d}{dt} \left(t \frac{d}{dt} \hat{\mathcal{F}}_x \right) - t \frac{d_y^2}{dx^2} \hat{\mathcal{F}}_x = 0.$$

We are only interested in the singular solutions; thus,

$$o(1) \quad \frac{d}{dt} t \frac{d}{dt} \hat{\mathcal{F}}_x^0 = 0 \quad \Rightarrow \quad \hat{\mathcal{F}}_x^0 = C_0 \ln t$$

$$o(t) \quad \frac{d}{dt} t \frac{d}{dt} \hat{\mathcal{F}}_x^1 = t \frac{d_y^2}{dx^2} \hat{\mathcal{F}}_x^0 = C_0 \frac{d_y^2}{dx^2} t \ln t$$

$$\Rightarrow \hat{\mathcal{F}}_x^1 = C_0 \frac{d_y^2}{dx^2} \frac{t^2}{4} \ln t + (\text{h.o.t.})$$

\rightarrow higher-order terms

We can keep on going on. It's enough for now, so

$$\hat{\mathcal{F}}_{x_s} = C_0 \ln t \left[1 + \left(\frac{d_y^2}{dx^2} / 4 \right) t^2 + (\text{h.o.t.}) \right] \dots$$

Subscript s denotes the singular branch. $\hat{\mathcal{F}}_x$ thus has a logarithmic singularity at $x = x_s$. With $\hat{\mathcal{F}}_{x_s}$ obtained, we

can derive the corresponding δb from

P.9

$$\frac{d\hat{\delta b}}{dx} = \epsilon_A(x) \delta \hat{\mathcal{P}}_x \cong \epsilon_A' t \delta \hat{\mathcal{P}}_{xs},$$

We then find, by straightforward integration by parts,

$$\hat{\delta b}^{(0)} = b_0 + c_0 \epsilon_A' \frac{t^2}{2} \ln t + (\text{h.o.t.}).$$

$\hat{\delta b}(x)$ thus has much weaker singular behaviours. Finally,

let us find $\delta \hat{\mathcal{P}}_y$; which is given by,

$$\epsilon_A \delta \hat{\mathcal{P}}_{ys} \cong \epsilon_A' t \delta \hat{\mathcal{P}}_{ys} = i k_y \delta \hat{b} \cong i k_y b_0; \text{ i.e.}$$

$$\delta \hat{\mathcal{P}}_{ys} \cong i k_y b_0 / \epsilon_A' t.$$

The two arbitrary constants, c_0 and b_0 , meanwhile are constrained by the relationship

$$\hat{\delta b} = -\underline{\sigma}_1 \cdot \underline{\delta \hat{\mathcal{P}}}_1 \cong (k_y^2 b_0 / \epsilon_A' t) \hat{\delta} (c_0/t) + (\text{h.o.t.})$$

Equating the $(1/t)$ term then leads to

$$c_0 = b_0 k_y^2 / \epsilon_A'$$

We thus have demonstrated that the singular solutions, $\delta \hat{\mathcal{P}}_{xs}, \delta \hat{\mathcal{P}}_{ys}$ are coupled to the CAW, ~~for~~ $\hat{\delta b} \cong b_0$. In

with $\omega = \omega_0$

p. 10

other words, as a compressional Alfvén wave propagates across a nonuniform plasma, i.e. excited large-amplitude (singular) SAW with $\nabla_{\perp} \cdot \hat{s}_{\perp s} \approx 0$ at $x = x_s$ where ω_0 matches the local SAW frequency; i.e., $\omega_0 = k_{\parallel} V_A(x_s) = \omega_A(x_s)$. Note also that $|\hat{s}_{by}| \propto |\hat{s}_{\perp s}| \sim 1/|t| \gg |\hat{s}_{bx}| = |\hat{s}_{\perp s}| \sim 1/|k_{\perp}|$. Finally, we remark that $\hat{s}_b(x)$ solution can also be directly derived by solving the CAW wave equation.

(II.4) Collisionless resonant wave-energy absorption

In this subsection, we want to demonstrate that the singular behaviour of SAW at $x = x_s$ can lead to wave-energy absorption even in the "collisionless" limit; this is very much similar to the wave energy absorption of Landau damping. The ~~only~~ major difference is Landau damping occurs in the velocity space; while SAW resonant absorption occurs in the real configuration space.

To calculate the resonant absorption rate, we note ^{kinetic energy} that, in the steady state, the rate of the total particle change is given by

$$\frac{d}{dt} W = \frac{1}{2} \text{Real} \int d^3x (\delta \underline{\underline{J}}^* \cdot \delta \underline{\underline{E}})$$

Noting that $\nabla \times \delta \underline{\underline{B}} = \frac{4\pi}{c} \delta \underline{\underline{J}}$, we have, with $\nabla \cdot (\delta \underline{\underline{B}})^2 = 0$,

$$\frac{dW}{dt} = -\frac{1}{2} \int d^3x \text{Real} \nabla \cdot \underline{\underline{P}}_{EB} \quad , \quad \text{where}$$

$\underline{\underline{P}}_{EB} = \frac{c}{4\pi} \delta \underline{\underline{E}} \times \delta \underline{\underline{B}}^*$ is the Poynting vector. Since system is

uniform in y and z , only $\frac{d\underline{\underline{P}}_{EBx}}{dx}$ contributes ; i.e.,

$$\frac{dW}{dt} = -\frac{1}{2} L_y L_z \int_{x_1}^{x_2} dx \frac{d}{dx} \left(\frac{c}{4\pi} \delta \underline{\underline{E}}_y \delta \underline{\underline{B}}_z^* \right) \quad , \quad x_1 \leq x \leq x_2$$

Now $\delta \underline{\underline{E}}_y = -i\omega_0 \frac{B_0}{c} \delta \underline{\underline{S}}_{yx}$, thus, we have

$$\begin{aligned} \frac{dW}{dt} &= \frac{1}{8\pi} \omega_0 B_0 L_y L_z \int_{x_1}^{x_2} dx (-1) \text{Im} \frac{d}{dx} (\delta \underline{\underline{S}}_{yx}^* \delta \underline{\underline{B}}_{11}) \\ &= -\frac{1}{8\pi} \omega_0 B_0 L_y L_z \int_{x_1}^{x_2} dx \text{Im} \left(\delta \underline{\underline{B}}_{11}^* \frac{d \delta \underline{\underline{S}}_{yx}}{dx} \right) \end{aligned}$$

Note $\frac{d \delta \underline{\underline{S}}_{yx}}{dx} \cong k_0 - i k_y \delta \underline{\underline{S}}_{ys} \cong b_0 k_y^2 / \epsilon_A$, $\delta \underline{\underline{B}}_{11}^* \cong b_0^*$

we obtain

$$\frac{dW}{dt} = -\frac{1}{8\pi} \omega_0 B_0 L_y L_z k_y^2 \int_{x_1}^{x_2} dx |b_0|^2 \text{Im} \left(\frac{1}{\epsilon_A} \right).$$

Note $\epsilon_A = \frac{\omega^2}{v_A^2} - k_{\parallel}^2 \approx \frac{\omega_0^2 + 2i\omega_0 \gamma}{v_A^2} - k_{\parallel}^2$, thus,

$$\text{Im} \frac{1}{\epsilon_A} = \begin{cases} 0 & \text{for } \omega_0^2 \neq k_{\parallel}^2 v_A^2 \\ -\infty & \text{for } \omega_0^2 = k_{\parallel}^2 v_A^2 \end{cases}$$

In fact, we can neatly express, for $x = x_{s_j}$ where $\epsilon_A(x_{s_j}) = 0$, $j=1, 2, \dots$

$$\text{Im} \frac{1}{\epsilon_A} = -\pi \delta(\epsilon_A) = -\pi \sum_j \frac{1}{j |\epsilon_A'|_{x_{s_j}}} \delta(x - x_{s_j})$$

Hence, finally, we arrive at

$$\frac{dW}{dt} = \frac{1}{8} \omega_0 B_0 L_y L_z k_y^2 \sum_{j=1}^N |b_0|^2_{x=x_{s_j}} \frac{1}{|\epsilon_A'|_{x_{s_j}}}.$$

(U.S) Shear Alfvén Continuum and Phase Mixing

P.13

In the preceding lecture, we discussed the singular solution and the associated "collisionless" wave-energy absorption when the frequency of a steady-state compressional Alfvén wave drive matches the local SAW frequency.

We now take the alternative perspective; i.e., that of an initial-value approach. Specifically, we would like to find the temporal ~~respo~~ dynamics subject to an impulsive excitation at $t=0$. Specifically, we are interested $\hat{s}_{\perp}^{\wedge}(x, t)$ for $t > 0$ subject to

$$\hat{s}_{\perp}^{\wedge}(x, t) = b(x) \delta(t),$$

and the initial conditions $\hat{s}_{\perp}^{\wedge}(x, t) = 0$ for $t \leq 0$.

Again, we have

$$D_A(x, t) \hat{s}_{\perp}^{\wedge}(x, t) = \nabla_{\perp}^2 \hat{s}_{\perp}^{\wedge},$$

with D_A , however, takes the following form

$$D_A = - \left[\rho_0 + \frac{1}{v_A^2(x)} \frac{\partial^2}{\partial t^2} \right].$$

Now, from preceding lectures, $\hat{b} \approx b_0 f(t)$, i.e., $\hat{b}(x,t)$ varies much slower in x than $\hat{\phi}_\perp$ at least time asymptotically. We, thus, have, as $t \rightarrow \infty$,

$$D_A(x,t) \hat{\phi}_y(x,t) = i k_y b_0(x) f(t)$$

for $t > 0$, we have

$$\hat{\phi}_y(x,t) = A_1(x) e^{i\omega_A(x)t} + A_2(x) e^{-i\omega_A(x)t}$$

Then $\hat{\phi}_y = 0$ at $t=0 \Rightarrow A_1 = -A_2$. w

$$\hat{\phi}_y(x,t) = i A(x) \sin[\omega_A(x)t]$$

Note $\omega_A(x) = k_{||} v_A(x) > 0$ ~~for~~ w/o loss of generality. To determine $A(x)$, we perform $\int_{0^-}^{0^+} dt$ and find

$$\frac{1}{v_A^2(x)} \frac{\partial \hat{\phi}_y}{\partial t} \Big|_{0^-}^{0^+} = i k_y b_0(x)$$

Note $\hat{\phi}_y = 0$ for $t \leq 0^-$, we have

$$\frac{i}{v_A^2} A(x) \omega_A(x) \cos[\omega_A(x)t] \Big|_{0^+} = i k_y b_0(x)$$

w

$$A(x) = k_y b_0(x) v_A^2(x) / \omega_A(x)$$

All together, we have

$$\hat{\delta \mathcal{P}}_y(x,t) = i \frac{\mu_0 b_0(x) V_A^2(x)}{\omega_A(x)} \sin[\omega_A(x)t].$$

Note that the oscillation frequency is the local SAW frequency, $\omega_A(x)$. Since $\omega_A(x)$ varies smoothly in x , the frequency spectrum is thus continuously varying in x ~~give~~ and, hence, the statement that in nonuniform plasmas, SAW has a continuous spectrum ~~or~~ continuum.

Now us to $\hat{\delta \mathcal{P}}_x(x,t)$, since $\hat{\delta \mathcal{P}}_x$ is the steady-state limit, we ~~have~~ ^{have} noted that $\nabla_x \cdot \hat{\delta \mathcal{P}}_x \approx 0$, we, therefore, expect that, as $t \rightarrow \infty$, we should have

$$\frac{d}{dx} \hat{\delta \mathcal{P}}_x \approx -i \mu_0 \hat{\delta \mathcal{P}}_y = b_0 \frac{\mu_0^2 V_A^2(x)}{\omega_A(x)} \sin[\omega_A(x)t].$$

Since $d\omega_A/dx \neq 0$, the dominant x variation, as $t \rightarrow \infty$, enters via the $\omega_A(x)t$ term, it is then easy to show that, as $t \rightarrow \infty$,

$$\hat{\delta \mathcal{P}}_x(x,t) \Rightarrow b_0 \frac{\mu_0^2 V_A^2(x)}{\omega_A(x)} \cdot \frac{(-1)}{\omega_A'(x)} \cos[\omega_A(x)t].$$

Note that the $(1/t)$ dependence comes from the observation that each location has different oscillation frequency $\omega_A(x)$ and $d\omega_A(x)/dx \neq 0$; and, hence, $\hat{s}_{\mathcal{P}_x}$, the displacement in the x or non-uniform direction, will ~~involve~~ involve coupling between neighboring SAW oscillations and, thus, temporally decay as $|1/t|$ due to the phase mixing. Meanwhile, as $t \rightarrow \infty$,

$$|\hat{s}_{B_x}|/|\hat{s}_{B_y}| \sim |\hat{s}_{\mathcal{P}_x}|/|\hat{s}_{\mathcal{P}_y}| \sim |1/t| \ll 1$$

Attached here ^{are} ~~is~~ magnetic fluctuations measured by the AMPTE/CEE satellite in the Earth's magnetosphere (dayside, outbound - pre noon and inbound - late afternoon). δB_r corresponds to \hat{s}_{B_x} (the nonuniform radial direction) and δB_θ ~~due~~ to \hat{s}_{B_y} (the East-West azimuthal symmetry direction). Shown here clearly are the discrete bands of continuous spectrum in δB_θ ; where each

band corresponds to different $k_{||}$'s value. Note that $|SB_R| \ll |SB_E|$ and $SB_N \propto SB_{||}$ is ~~rather~~ rather ~~weak~~ weak. ^{2.17}
These features are consistent with our theoretical predictions and are, indeed, marvelous testimony to the success of ideal MHD theory in describing low-frequency macroscopic wave dynamics.